



Customer No. 22,852  
Attorney Docket No.: 03327.2315

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application of:**

**Koji IWASAWA**

**Application No.: 10/721,084**

**Filed: November 26, 2003**

For: **ALARM MANAGEMENT METHOD  
AND APPARATUS THEREFOR**

Group Art Unit: **2857**

**Examiner: Not Yet Assigned**

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicant brings to the Examiner's attention the documents listed on attached Form PTO-1449. Copies of the listed foreign patent documents are attached. Applicant respectfully requests that the Examiner consider the documents listed on attached Form PTO-1449 and indicate that they were considered by making an appropriate notation on this form. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

The following are listed on the accompanying PTO-1449 and are in a non-English language:

1. Japanese Patent Publication No. 06-332910 – The relevance of this document is discussed on page 4 of the present patent application.
2. Japanese Patent Publication No. 08-228211

3. Japanese Patent Publication No. 11-143744
4. Japanese Patent Publication No. 2000-097814

The relevance of documents 1-4 above is also disclosed in the enclosed English-language abstract of each document.


This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 15, 2004

By:   
Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/sci

## INFORMATION DISCLOSURE CITATION

Atty. Docket No. 03327.2315	Application No. 10/721,084
Applicant Koji IWASAWA	
Filing Date November 26, 2003	Group: Not Yet Assigned

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,339,257	08/16/94	LAYDEN et al.			
	5,642,296	06/24/97	SAXENA			
	6,363,294 B1	03/26/02	CORONEL et al.			

## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
06-332910	12/02/94	JAPAN			ABSTRACT
08-228211	09/03/96	JAPAN			ABSTRACT
11-143744	05/28/99	JAPAN			ABSTRACT
2000-097814	04/07/00	JAPAN			ABSTRACT

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce